Sheet 1 of Form PTO-1449 DEPARTMENT OF COMMERCE ATTY. DOCKET NO.: 5853-173 SERIAI **109/896,720** (REV. 2-88) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE APPLICANT: Song, et al. STATEMENT BY APPLICANT (Use several sheets if necessary) FILING DATE: June 29, 2001 GROUF U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS INITIAL 5,952,485 534 09/14/99 Ronald et al. マ3 し FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Yang et al., "Ubiquitin Protein Ligase Activity of IAPs and Their Degradation in Proteasomes in Response to Apoptotic Stimuli," Science, 288: 874, 2000 Joazeiro et al.," The Tyrosine Kinase Negative Regulator c-Cbl as a RING-Type, E2 Dependent Ubiquitin-Protein Ligase," Science, Song et al., "A Receptor Kinase-Like Protein Encoded by the Rice Disease Resistance Gene, Xa21," Science, 270:1804, 1995 Dietrich et al., "A Novel Zinc Finger Protein is Encoded by the Arabidopsis LSD1 Gene and Functions as a Negative Regulator Plant Cell Death," Cell, 88: 685, 1997 Zhang et al., "Transgenic elite Indica rice varieties, resistant to Xanthomonas oryzae pv. oryzae," Molecular Breeding, 4: 551, 1998 Boyes et al., "The Arabidopsis thaliana RPM1 disease resistance gene product is a peripheral plasma membrane protein that is degraded coincident with the hypersensitive response," Plant Biology, 95:15849, 1998 Buschges et al., "The Barley MIo Gene: A Novel Control Element of Plant Pathogen Resistance," Cell, 88: 695, 1997 Richberg et al., "Dead cells do tell tales," Current Opinion in Plant Biology, 1: 480, 1998 EXAMINER DATE CONSIDERED Just

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Sheet U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO.: 5853-173 SERIAL NO (REV. 2-88) PATENT AND TRADEMARK OFFICE APPLICANT: Song et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT FILING DATE: June 29, 2001 GROUP: (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** EXAMINER PATENT NUMBER DATE CLASS NAME SUBCLASS FILING DATE INITIAL APPROPRIATE FOREIGN PATENT DOCUMENTS PATENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Tyers, M. and A. Willems "One Ring to Rule a Superfamily of E3 Ubiquitin Ligases," Science, 284: 601, 1999 Freemont, P., "Ubiquitination: RING for destruction?," Current Biology 10: R84, 2000 Levkowitz et al., "Ubiquitin Ligase Activity and Tyrosine Phosphorylation Underlie Suppression of Growth Factor Signaling by c-Cbl/Sli-1," Molecular Cell, 4:1029, 1999 Ronald, P., "Making Rice Disease-Resistant," Scientific American, 100, 1997 Barinaga, M., "A New Finger on the Protein Destruction Button," Science, 286: 223, 1999 **EXAMINER** DATE CONSIDERED *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line phrough citation if not in conformance and not considered. Include copy of this form with next communication to applicant.